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Enclosed for filing is a patent application under 37 CFR 1.53(b).

Applicant: Dhaval Shah, Michael E. Tasker and Neil Joffe

Title: METHOD AND APPARATUS FOR CALL SETUP WITHIN A VOICE FRAME NETWORK

Enclosures:

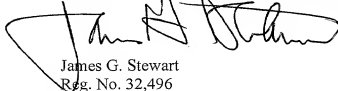
1. Specification (pages 1-7); claims (pages 8-11); abstract (page 12)
2. TWO sheets of Formal drawings
3. Combined Declaration and Power of Attorney (unsigned)
4. Return postcard

CLAIMS AS FILED				
For	Number Filed	Number Extra	Rate	Basic Fee
Total Claims	21-20	1	x \$18.00 =	\$18.00
Independent Claims	5-3	2	x \$78.00 =	\$156.00
Multiple Dependent Claim Fee			x \$260.00 =	\$0.00
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METHOD AND APPARATUS FOR CALL SETUP WITHIN A VOICE FRAME NETWORK

BACKGROUND OF THE INVENTION

5 This invention relates to Internet protocol (IP) network systems in which voice or other data are sent in packets from a server to a client or vice versa. More specifically, it concerns method and apparatus for endpoint source and destination call setup within a voice over Internet protocol (VoIP) or similar voice frame network.

10 Detecting, and optionally routing around, voice frame network congestion typically is performed by a method that is similar to Internet protocol (IP) ping. Those of skill in the art will appreciate that ping is a method by which real-time responder (RTR) or service assurance agent (SAA) endpoint probes are transmitted and echoed across the network at call setup time to determine the inter-connective preparedness of endpoints for incoming calls.

15 A network endpoints coordination protocol (NECP) may measure network latency between network endpoints, as described in co-pending U.S. Patent Application Serial No. 09/346,080 entitled A PROTOCOL TO COORDINATE NETWORK ENDPOINTS TO MEASURE NETWORK LATENCY, filed July 1, 1999, the disclosure of which is incorporated herein by reference. Network endpoints metrics such as data
20 packet delay, jitter and loss may be obtained, as described in co-pending U.S. Patent Application Serial No. 09/434,845 entitled METHOD AND APPARATUS FOR MEASURING NETWORK DATA PACKET DELAY, JITTER AND LOSS, filed November 4, 1999, the disclosure of which also is incorporated herein by reference. These protocol, method and apparatus rely on RTR/SAA endpoint probe transmission and echoing with or without content modification. Both patent applications are subject
25 to common ownership herewith by assignee Cisco Technology, Inc.

One recently proposed VoIP congestion detection method permits the endpoint probe to emulate a burst of actual voice packets. This provides improved fidelity to the probe results compared with a simple IP ping. The mechanism involves sending an
30 RTR/SAA probe to the IP address of the far-end voice gateway prior to attempting a call setup to the gateway. To increase efficiency, and to reduce post-dialing delay, the voice gateway that originates the call keeps a cache of recent RTR/SAA probe results.

The cache allows the gateway to consolidate probe requests from plural voice ports and associated call attempts, so that a single probe test and results is shared by all

voice ports and call attempts on a voice gateway. This reduces the volume of IP network traffic and congestion generated by the RTR/SAA probes themselves. This is particularly useful in conjunction with network maintenance techniques that continuously send probes to a far-end gateway to confirm connectivity on a pre-emptive basis, rather than simply at call setup time.

This probe result caching scheme suffers from the limitation that the number of IP addresses available as targets for VoIP calls is likely to be larger than any reasonable RTR/SAA cache size. The cache entries typically store an RTR/SAA probe result for each IP address corresponding with each distant voice gateway or VoIP endpoint. When this mechanism is used with an IP private branch exchange (PBX), each individual IP phone as part of the IP PBX may have an individual IP address. Accordingly, each individual IP phone may contribute an individual IP probe cache entry, which requires an unreasonable amount of caching time and resource, e.g. memory.

Moreover, not all IP targets or VoIP endpoints support RTR/SAA probe/response queries. In these cases, it is not possible to perform either initial or periodic connectivity confirmation.

SUMMARY OF THE INVENTION

This invention recognizes that the RTR/SAA probes for a group of IP phones or voice gateways situated on the same IP sub-net are likely to have almost identical RTR/SAA probe results. A significant saving in the RTR/SAA probe cache size may be obtained by consolidating the RTR/SAA probe results for a group of similarly situated IP phones or voice gateways and consolidating such probe results into a single cache entry. This mechanism also greatly increases the cache hit rate, since a call to a single phone within the distant IP PBX will provide a cached RTR/SAA result to other phones within the same IP PBX group. Cache consolidation is obtained by mapping of individual IP addresses obtained during the normal VoIP call setup process into a single IP address that is designated to represent the IP phone/gateway group. Moreover, such mapping permits an Internet operating system (IOS) router/gateway to act as an RTR/SAA responder proxy on behalf of any non-RTR capable VoIP endpoints. Accordingly, the invention may be thought of as involving both destination-consolidation, e.g. consolidating VoIP endpoint probe results, and source-consolidation, e.g. consolidating gateway endpoint probe results.

Preferably, the method involves transmitting plural endpoint probes to produce plural endpoint probe results indicating the preparedness of the endpoints for calls routed thereto; identifying similarly situated endpoints; and representing each of the similarly situated endpoints by a reduced number of recorded endpoint probe results that substantially represent the inter-connective preparedness of each of the similarly situated endpoints. Preferably, the apparatus includes a mapping mechanism for mapping the probe results for the similarly situated endpoints into a reduced number of endpoint probe results that substantially represent the inter-connective preparedness of each of the similarly situated endpoints, and a recording mechanism for recording the reduced number of endpoint probe results.

The foregoing and other objects, features and advantages of the invention will become more readily apparent from the following detailed description of a preferred embodiment which proceeds with reference to the drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a system block diagram illustrating a voice frame network of which the invented method and apparatus of the invention are a part.

Fig. 2 is a more detailed schematic diagram of the apparatus in accordance with a preferred embodiment of the invention.

Fig. 3 is a flowchart illustrating the method in accordance with a preferred embodiment of the invention.

DETAILED DESCRIPTION OF THE INVENTION

Fig. 1 shows a network 10 such as the world-wide web or Internet operatively connecting one or more Internet protocol (IP) phones 12a, 12b via a voice gateway 14 and a WAN or PBX 16. Those of skill in the art will appreciate that plural paths such as paths 18a, 18b extend through network 10, providing alternative routing of voice or data through the network. In accordance with the invention, RTR/SAA one or more probes 20a, 20b are routed through network 10 over such paths 18a, 18b between what will be referred to herein as IP endpoints. IP endpoints, as used herein, refers broadly to IP phones 12a, 12b, gateway 14, WAN or PBX 16, or to routers (not shown) connected thereto. Such routing of RTR/SAA probes may take the form of an IP ping mechanism, or, more preferably, may take the form of the SAA probe mechanism described and illustrated in the above-referenced co-pending patent applications. Such may be referred

to herein broadly as pinging, which will comprehend both the simple IP ping echo and the more sophisticated performance metric techniques, and any such pinging or endpoint probing method and apparatus whereby a query packet is transmitted and a response packet is returned from a remote endpoint are within the spirit and scope of the

5 invention.

Fig. 1 shows a probe pair, which will be understood to represent two different periods of time, wherein a first probe Pa is transmitted from voice gateway 14 to WAN/PBX 16 and a second, responsive, probe Pa is transmitted from WAN/PBX 16 back to voice gateway 14. The invented method and apparatus recognize that

10 WAN/PBX may stand in for IP phones 12b as representative of the readiness of IP phones 12b to respond to a call from IP phones 12a. The invented method and apparatus also recognize that voice gateway 14 may stand in for IP phones 12a as representative of the readiness of IP phones 12a to respond to a call from IP phones 12b. Thus, the invention involves mapping the IP address of any or all of plural IP phones 12a into the

15 IP address of voice gateway 14 and of any or all or plural IP phones 12b into the IP address of WAN/PBX 16, for purposes of testing interconnectivity.

It will be understood that such mapping for representation purposes is a very useful way to save cache space. This is because heretofore the IP address of each and every IP phone or endpoint required an individual cache entry in memory to maintain the

20 interconnectivity status of the IP phones or endpoints. Prior to the present invention, memory requirements tended to grow without bounds. This lowered the likelihood of a cache hit when a router was attempting to determine the status of a particular IP endpoint, since only a limited number of IP endpoint status blocks could be represented as a cache entry at any particular point in time. With the present invention, the total

25 number of IP endpoint status blocks is greatly reduced, by the mapping of plural ones of such IP endpoint addresses into a reduced number of representative IP endpoint addresses. Thus, cache size is greatly reduced and the likelihood of a cache hit is greatly increased.

Interconnective readiness of a particular IP endpoint is represented accurately, in

30 accordance with the invention. This is because the mapping, in accordance with the invention, is of a particular IP address into a different IP address that is substantially representative of the particular IP address. Network proximity between the targeted IP address and the representative IP address ensures the high fidelity of the representation. For example, most of the network voice data packet delay, jitter and loss occurs at the

nodes along circuitous paths 18a, 18b between voice gateway 14 and WAN/PBX 16. In other words, very little occurs at the endpoint nodes themselves, e.g. in the routers directly coupled with gateway 14 or WAN/PBX 16, so that the delay, jitter and loss between the IP phones themselves may be accurately represented by the delay, jitter and loss between the associated gateway and WAN/PBX.

Those of skill in the art will appreciate that the invention preferably takes the form of software routines that reside in voice gateway 14 and WAN/PBX 16. This renders the invention relatively low cost and easily provided to enhance the value of the installed base of gateway and WAN/PBX endpoints. Thus, no hardware is needed and no modifications other than the installation, e.g. downloading, of software is required to realize the advantages of the invention.

Fig. 2 shows apparatus 22 in schematic block diagram form. Apparatus 22 preferably includes a pinging mechanism 24, which may take any suitable form as discussed above. Apparatus 22 further includes a mapping mechanism 26 capable of mapping plural IP addresses into a reduced number of plural IP addresses representative thereof for pinging purposes. Mapping mechanism 26 may have associated therewith a database 28, indicated in Fig. 2 as a disk drive. It will be appreciated that the database may take any suitable form, and simply provides an associated between two or more IP phone addresses and a representative endpoint IP address. Alternatively, mapping mechanism may rely on the basic rule set forth above, or any other suitable mapping rule or rules.

Apparatus 22 preferably further includes a recording mechanism 30, e.g. a memory, for storing the result of the mapping produced by mapping mechanism 26. Recording mechanism may be operatively connected, in accordance with the invention, to a caching mechanism 32 as described by which fewer than all such IP phone addresses are stored in non-volatile, random access memory for instant access. Recording mechanism also may be operatively connected, in accordance with the invention, to a proxy reporting mechanism 34 as described. Thus, endpoint probe results such as voice data packet delay, jitter and loss may be reported to supervisory or performance assurance software based upon the stored, representative probe results. Proxy refers to the fact that an IP endpoint such as voice gateway 14 or WAN/PBX acts for reporting purposes as representative of an IP phone 12a or 12b for purpose of reporting endpoint probe results indicative of interconnectivity preparedness.

Individual IP addresses obtained during a normal VoIP call setup process that includes pinging are mapped to a single IP address designed to represent the IP phone/gateway group. In many cases, the mapping may replace the IP address of any individual distant IP phone/gateway with the IP address of a wide-area network (WAN) access router that connects the IP phone/gateway group to the WAN. The IP mapping can be performed in any suitable way. For example, a list of IP phone/gateway IP addresses accessible through the WAN access router may be maintained in memory. Alternatively, an IP sub-net may be identified using the following mapping rule: Any IP phone/gateway contained within the IP sub-net is represented (for RTR/SAA probe purposes) by the IP address of the WAN access router associated with the IP sub-net.

Yet other alternatives are possible, and are within the spirit and scope of the invention. For example, a database server may identify group membership, i.e. groups of individual members may be identified as representative of those members, thereby defining the mapping in accordance with the invention. The mapping mechanism then would simply do a database look-up, e.g. using database 28, to determine the representative, or proxy, IP address for the individual members. Thereafter, unless the membership definition changed, the RTR/SSA responder for the individual members would be represented by the RTR/SSA responder for the IP address of the group to which the members are assigned by the database.

Fig 3 illustrates the invented method in accordance with a preferred embodiment in the form of a flowchart. At 100, plural endpoint probes are transmitted to remote IP phone addresses to produce plural endpoint probe results indicating the preparedness of the endpoints for calls routed thereto. At 102, similarly situated ones of the plural endpoints are identified, as described above, preferably by their individual network addresses. At 104, each of the similarly situated ones of the plural endpoints is represented by a reduced number of recorded endpoint probe results that substantially represent the preparedness of each of the similarly situated endpoints. Preferably, such representation is performed by mapping the network addresses of the identified ones of the similarly situated endpoints into a network address that is representative of the similarly situated endpoints. Optionally, such representation also involves recording the endpoint probe results for the network address of the group as representative of the preparedness of the similarly situated endpoints.

At 106, the reduced number of stored endpoint probe results for each group of similarly situated endpoints is cached, so that representative probe results are readily

accessible to supervisory or performance assurance software without undue latency or overhead. At 108, the reduced number of recorded endpoint probe results are proxy-reported as representative of one or more of the similarly situated endpoints. Those of skill in the art will appreciate that typically at least one of the endpoints includes an IP phone such as any one of IP phones 12a, 12b, which IP phone typically may be connected with WAN/PBX 16. Those of skill in the art also will appreciate that typically at least one of the endpoints includes an IP voice gateway 14.

Finally, those of skill in the art will appreciate that the invented method and apparatus described and illustrated herein may be implemented in software, firmware or hardware, or any suitable combination thereof. Preferably, the method and apparatus are implemented in software, for purposes of low cost and flexibility. Thus, those of skill in the art will appreciate that the method and apparatus of the invention may be implemented by a computer or microprocessor process in which instructions are executed, the instructions being stored for execution on a computer-readable medium and being executed by any suitable instruction processor. Alternative embodiments are contemplated, however, and are within the spirit and scope of the invention. Having illustrated and described the principles of my invention in a preferred embodiment thereof, it should be readily apparent to those skilled in the art that the invention can be modified in arrangement and detail without departing from such principles. We claim all modifications coming within the spirit and scope of the accompanying claims.

CLAIMS:

1. A method of combining voice frame network endpoint probe results, the method comprising:
- transmitting plural endpoint probes to produce plural endpoint probe results
- 5 indicating the preparedness of the endpoints for calls routed thereto;
- identifying similarly situated endpoints;
- representing each of the similarly situated endpoints by a reduced number of recorded endpoint probe results that substantially represent the preparedness of each of the similarly situated endpoints.
- 10
2. The method of claim 1 which further comprises:
- caching the reduced number of stored endpoint probe results for each group of similarly situated endpoints.
- 15
3. The method of claim 1, wherein said representing includes mapping plural individual network addresses into the network address of a group of plural individual network addresses that represent the similarly situated endpoints and by recording the endpoint probe result for the network address of the group as representative of the preparedness of the similarly situated endpoints.
- 20
4. The method of claim 1 which further comprises:
- proxy-reporting the reduced number of recorded endpoint probe results as representative of one or more of the similarly situated endpoints.
- 25
5. The method of claim 1, wherein at least one of the endpoints includes an Internet protocol (IP) phone.
6. The method of claim 1, wherein at least one of the endpoints includes an Internet protocol (IP) voice gateway.

7. Apparatus for consolidating plural endpoint probe results into a reduced number of representative endpoint probe results, the apparatus comprising:

a mapping mechanism for mapping the probe results for similarly situated endpoints into a reduced number of endpoint probe results that substantially represent the preparedness of each of the similarly situated endpoints, the mapping mechanism including executable software instructions for identifying similarly situated endpoints within a voice frame network by their individual network addresses and executable software instructions for mapping the network addresses of the identified ones of the similarly situated endpoints into a network address that is representative of the similarly situated endpoints, and

a recording mechanism for recording the reduced number of endpoint probe results.

8. The apparatus of claim 7 which further comprises:

a proxy reporting mechanism for reporting the reduced-and-recorded endpoint probe results as representative of one or more of the similarly situated endpoints that is mapped by said mapping mechanism into such reduced-and-recorded endpoint probe results.

9. The apparatus of claim 8 which further comprises:

a caching mechanism for caching the reduced-and-recorded probe results for the similarly situated endpoint groups.

10. The apparatus of claim 9 which further comprises:

a pinging mechanism for producing the plural endpoint probe results, said pinging mechanism test-probing plural endpoints to determine the preparedness thereof for calls routed thereto.

11. The apparatus of claim 7, wherein at least one of the plural endpoints includes an Internet protocol (IP) phone.

12. The apparatus of claim 7, wherein at least one of the plural endpoints includes an Internet protocol (IP) voice gateway.

13. A voice frame network address consolidation method for use with ping-
endpoints to determine their interconnectivity preparedness, the method comprising:

identifying similarly situated endpoints within the voice frame network by their
individual network addresses;

mapping the network addresses of the identified ones of the similarly situated
endpoints into a network address that is representative of the similarly situated
endpoints; and

utilizing the ping- results for the mapped-to network address to represent the
interconnectivity preparedness of the similarly situated endpoints that are mapped
thereto.

14. The method of claim 13, wherein at least one of the endpoints includes an
Internet protocol (IP) phone.

15. The method of claim 13, wherein at least one of the endpoints includes an
Internet protocol (IP) voice gateway.

16. A computer-readable medium containing a program for consolidating
voice frame network address endpoint probe results to determine their interconnectivity
preparedness, the program comprising:

instructions for identifying similarly situated endpoints within the voice frame
network by their individual network addresses;

instructions for mapping the network addresses of the identified ones of the
similarly situated endpoints into a network address that is representative of the similarly
situated endpoints; and

instructions for utilizing the ping- results for the mapped-to network address to
represent the interconnectivity preparedness of the similarly situated endpoints that are
mapped thereto.

17. The computer-readable medium in accordance with claim 16, wherein at
least one of the endpoints includes an Internet protocol (IP) phone.

18. The computer-readable medium in accordance with claim 16, wherein at
least one of the endpoints includes an Internet protocol (IP) voice gateway.

19. Apparatus for consolidating plural endpoint probe results into a reduced number of representative endpoint probe results, the apparatus comprising:

5 means for identifying similarly situated endpoints within the voice frame network by their individual network addresses;

means for mapping the network addresses of the identified ones of the similarly situated endpoints into a network address that is representative of the similarly situated endpoints; and

10 means for utilizing the pinging results for the mapped-to network address to represent the interconnectivity preparedness of the similarly situated endpoints that are mapped thereto.

20. The apparatus of claim 19 wherein at least one of the endpoints includes an Internet protocol (IP) phone.

15

21. The apparatus of claim 20, wherein at least one of the endpoints includes an Internet protocol (IP) voice gateway.

METHOD AND APPARATUS FOR CALL SETUP WITHIN A VOICE FRAME NETWORK

ABSTRACT

5 The method involves transmitting plural endpoint probes to produce plural
endpoint probe results indicating the preparedness of the endpoints for calls routed
thereto; identifying similarly situated endpoints; and representing each of the similarly
situated endpoints by a reduced number of recorded endpoint probe results that
substantially represent the inter-connective preparedness of each of the similarly situated
10 endpoints. The apparatus includes a mapping mechanism for mapping the probe results
for the similarly situated endpoints into a reduced number of endpoint probe results that
substantially represent the inter-connective preparedness of each of the similarly situated
endpoints, and a recording mechanism for recording the reduced number of endpoint
probe results. Such mapping permits an Internet operating system (IOS) router/gateway
15 to act as an real-time responder/service assurance agent (RTR/SAA) proxy on behalf of
non-RTR/SAA capable voice over Internet protocol (VoIP) endpoints.

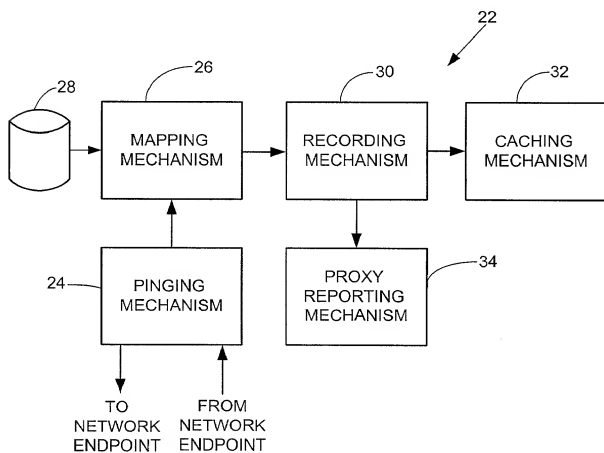
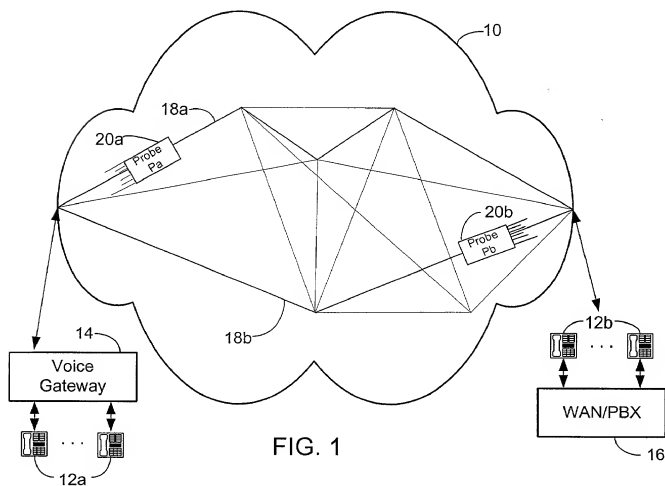


FIG.2

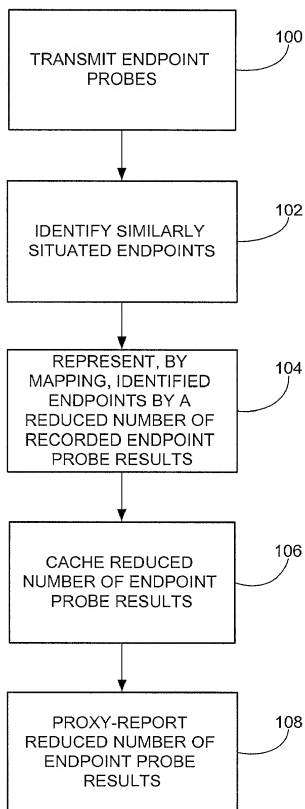


FIG. 3

COMBINED DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND APPARATUS FOR CALL SETUP WITHIN A VOICE FRAME NETWORK, the specification of which:

☒ is attached hereto.
☐ was filed on _____ as Application No. _____
☐ and was amended on _____ (if applicable)
☐ with amendments through _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Sec. 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Sec. 119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Claiming
Priority?

(Number)

(Country)

(Day/Month/Year Filed)

☐ ☐
Yes No

I hereby claim the benefit under Title 35, United States Code, Sec. 119(e) of any United States provisional application listed below:

Provisional Application No.

Filing Date

I hereby claim the benefit under Title 35, United States Code, Sec. 120 or §365(c) of any PCT international application designating the United States of America listed below and,

insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Sec. 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application No.) (Filing Date) (Status) (patented, pending, abandoned)

I hereby appoint the following attorneys to prosecute the application, to file a corresponding international application, to prosecute and transact all business in the Patent and Trademark Office connected therewith:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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